



**NC ALE**  
National ALE Alliance Member



*Dale R. Folwell, CPA*  
STATE TREASURER OF NORTH CAROLINA  
DALE R. FOLWELL, CPA

**NORTH CAROLINA ALE PROGRAM  
Board of Trustees Meeting  
State Treasurer Dale R. Folwell, CPA, Chair  
Wednesday, November 8, 2023  
9:30 am ET**

**Location:**

*Department of State Treasurer  
Dogwood Conference Room  
3200 Atlantic Avenue  
Raleigh, NC 27604*

GoToMeeting <https://meet.goto.com/521212869>  
Call-In Number: 571-317-3122 Access Code: 521-212-869

The materials are posted at [NC ALE Program Board of Trustees | NC Treasurer](#)

**Agenda Item**

**Presenter**

**GENERAL BUSINESS**

- |      |  |                                      |
|------|--|--------------------------------------|
| I.   | Call to Order  | Dale R. Folwell, CPA<br><i>Chair</i> |
| II.  | <u>Ethics Act</u><br><br>Ethics Awareness and Identification of Conflicts or Potential Conflicts of Interest | Dale R. Folwell, CPA<br><i>Chair</i> |
| III. | Approval of Minutes (Tab: 1)<br>from the May 10, 2023, Meeting<br><b>ACTION REQUIRED: Act Upon Motion</b>    | Dale R. Folwell, CPA<br><i>Chair</i> |

- |      |   |          |  |
|------|---|----------|--|
| IV.  | Administrative Update<br>NC ABLE Budget for 2023-2024 | (Tab: 2) | Jeff Hancock<br><i>Director</i>                                      |
| V.   | Communications and Marketing Update                   | (Tab: 3) | Zora Falkowski<br><i>Communications Officer</i>                      |
| VI.  | Program Manager Administrative Update                 | (Tab: 4) | Jose Gamboa,<br>Molly Peterson and<br>Paul Souppa<br><i>Ascensus</i> |
|      |   |          | Dan Beck<br><i>FifthThird Bank</i>                                   |
| VII. | Public Comment  |          | Dale R. Folwell, CPA<br><i>Chair</i>                                 |

**DATE OF NEXT MEETING**

Thursday, May 9, 2024 at 9:30 a.m. ET  
 Thursday, November 7, 2024 at 9:30 a.m. ET

**ADJOURNMENT**

**APPENDIX**

- ❖ 2024 NC ABLE Board Dates Memo
- ❖ NC ABLE Board of Trustees Information
- ❖ NC ABLE Program – Staff Organizational Chart
- ❖ National ABLE Alliance Investment Review

Questions regarding items on the Agenda should be addressed to the Department of State Treasurer, Retirement Systems Division by email at [NCABLE@nctreasurer.com](mailto:NCABLE@nctreasurer.com).

Accommodations and modifications for people with disabilities can be made upon request. Please contact Patti Hall at 919-814-4198 or [Patti.Hall@nctreasurer.com](mailto:Patti.Hall@nctreasurer.com) at least seven days prior to the meeting. Requests for accommodations or modifications made after the deadline will be honored to the maximum extent feasible, but it may not be possible to fulfill them.